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EXAMINER

POHNERT, STEVEN C

ART UNIT

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|--------------------------------------|------------------------------------|--|
| Office Action Summary | Application No. 10/773,000 | Applicant(s) SOOD ET AL. | |
| | Examiner Steven C. Pohnert | Art Unit 1634 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 July 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-56 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-56 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 05 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date: _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date: _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is in response to the papers filed July 2, 2007. Currently claims 1-56 are pending. Any rejections not reiterated below are hereby withdrawn.

The 112-1st paragraph New Matter rejection directed toward, "wherein said detectable species is readily distinguishable from the labeled polyphosphate or terminal-phosphate labeled nucleoside polyphosphate" has been withdrawn as the claims have been amended and no longer recite this limitation.

The objection to claim 50 has been overcome by amendment.

The New Matter rejection for claims 1-31 as directed to, "with a phosphatase activatable label" has been maintained for the reasons presented below.

Further a new matter rejection has been added due to the recitation of "detectable species without first separating by charge of said detectable species from the reaction mixture" in claims 1-31 and "detecting said labeled polyphosphate without first separating by charge of said labeled polyphosphate from the reaction mixture" in claims 32-56.

This action is final.

Maintained and New grounds of rejection

New Matter Rejections

Claim Rejections - 35 USC § 112

2. Claims 1-31 and 32-56 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably

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convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

MPEP 2163.06 notes "If new matter is added to the claims, the examiner should reject the claims under 35 U.S.C. 112, first paragraph - written description requirement. In re Rasmussen , 650 F.2d 1212, 211 USPQ 323 (CCPA 1981)."

In claims 1-31, the recitation "with a phosphatase activatable label" appears to be new matter. The specification does not provide basis for the concept of a phosphatase activatable label. Page 20 of remarks file January 10, 2007 point to page 19, lines 12-13 for basis for the amendment to a phosphatase activatable label. However, the specification teaches, "the label is activated after phosphatase treatment, the label attached at the terminal-phosphate position in the terminal-phosphate-labeled nucleotide may be selected from the group." The specification teaches the label is activated after phosphate treatment, but does not teach the label is activated as a result of treatment with a phosphatase. Thus the concept of a "phosphatase activatable label" was not originally disclosed and is new matter.

The MPEP states in 2173.05, " Any claim containing a negative limitation which does not have basis in the original disclosure should be rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement."

Claims 1-31 recite "detectable species without first separating by charge of said detectable species from the reaction mixture" and claims 32-56 recite "detecting said labeled polyphosphate without first separating by charge of said labeled polyphosphate from the reaction mixture" which appear to be new matter. The specification does not

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provide basis for detection without separation based on charge. The response of 7/2/2007 denotes this amendment has been made to overcome the art of record however, does not distinctly point out basis for the amendment. The specification does not appear to recite or suggest this limitation anywhere. Thus this is considered new matter.

Response to arguments

The response of 7/2/2007 on page 17, asserts that the claims "with a phosphatase activatable label" is not new matter. The response asserts that page 11, lines 14-23 and the figure immediately following this as well as page 28, lines 9-20 support this amendment. This argument have been thoroughly reviewed but are not considered persuasive because, the specification on page 11 teaches, "Cleavage of the polyphosphate product of phosphoryl transfer via phosphatase, leads to a detectable change in the label attached thereon." This merely suggests that the phosphatase leads to a detectable change in the label, but does not teach the phosphatase activates the label. Further the teaches on page 28, "The enzyme-activatable label becomes detectable through the enzymatic activity of phosphatase which changes the phosphate ester linkage between the label and the terminal-phosphate of a natural or modified nucleotide in such a way to produce a detectable species." This merely requires that the cleaved label is produced by the cleavage of the phosphatase, but does not teach the phosphatase results in an activated label.

Maintained rejections

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-7, 9, 11-18, 20-23, 27-38, 40, 42-45, 47, 49-50, 55, 56 are rejected under 35 U.S.C. 102(b) as being anticipated by Williams et al (WO/2001/94609).

With regards to claim 1, Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a g-phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams further teaches, "the use of a phosphatase

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enhances the charge-switch magnitude by dephosphorylating the PPI-F" (see page 25, lines 12-13). The sample stream taught by Williams is a continuing polymerization assay by adding different nucleoside polyphosphates.

With regards to claim 2, Williams teaches immobilizing a target nucleic acid onto a solid support (see page 4, lines 20-21). Target nucleic acid is a template.

With regards to claim 3, Williams teaches oligonucleotides can be immobilized on a solid support (see page 9, lines 16-19). Williams teaches oligonucleotides are primers (see page 29, lines 7-18).

With regards to claim 4, Williams teaches immobilizing a nucleic acid complex onto a solid support, contacting the polymerase and detecting release of pyrophosphate (see page 21, line 30 to page 22 line 2).

With regards to claim 5, Williams teaches immobilizing a nucleic acid polymerase on a solid support for conducting (see page 4, lines 5-6).

With regards to claim 6, Williams teaches a flowcell having an inlet port and an outlet port (page 4, line 29).

With regards to claim 7, Williams teaches, "the amount of pyrophosphate released which, in turn, is directly proportional to the amount of base incorporated" (see page 25, lines 15-16). The amount of pyrophosphate released is thus proportional to the amount of template nucleic acid present. The amount of nucleic acid present is thus quantitated.

With regards to claim 9, Williams teaches a nucleic acid polymerase (see page 4 lines 20-21).

With regards to claims 11 and 12, Williams teaches DNA as a template (see abstract). Instant specification teaches, "oligonucleotide' includes linear oligomers of nucleotides or derivatives thereof, including deoxyribonucleosides." Oligonucleotide thus encompasses DNA.

With regards to claim 13, Williams teaches sequencing in real time (see abstract). Real time sequencing requires the conducting step and subjecting step to be done simultaneously.

With regards to claim 14, Williams et al teaches the figure at the top of page 15 Williams further teaches in line 21 of page 20, Y can be 0, 1, or 3. Williams thus teaches a polyphosphate with 5 phosphates (2 in the figure plus the 3 of the y depiction). Williams thus teaches 4 or more phosphate groups in the polyphosphate chain.

With regards to claim 15, Williams teaches, "the amount of pyrophosphate released which, in turn, is directly proportional to the amount of base incorporated" (see page 25, lines 15-16). As Williams teaches the pyrophosphate has the detectable label, this is a detectable species directly proportional to amount of nucleic acid sequence.

With regards to claim 16, Williams teaches the use other phosphate transferring enzymes that include ATP sulphurylase-luciferase system and phosphatase.

With regards to claim 17, Williams teaches the use of four deoxynucleotide triphosphates, each labeled with a different color fluorescent dye (see page 24, lines 5-6).

With regards to claim 18, Williams teaches the nucleotide sequence of the target DNA can be thereafter be directly read from the order of releases dyes attached to pyrophosphate (see page 24, lines 7-8).

With regards to claim 20, Williams teaches use of fluorescent dyes and chromogenic dyes (see page 16, line 4 and page 24, lines 5-6).

With regards to claim 21 Williams teaches the molecule of the figure on top of page 15. Williams teaches the B is a nucleobase, a sugar moiety is depicted, three phosphates are depicted (the Y in the figure is in line 21 suggested to be , 0, 1, or 3) and the f is a label that can be independently detected upon activation (cleavage).

With regards to claim 22, Williams teaches the use of fluorescent dyes (see page 16, lines 15-23).

Claim 23 encompasses resorufin phosphate.

With regards to claim 23, Williams teaches the use of resorufin (see page 16, line 16). The incorporation of resorufin into the polyphosphate complex of Williams would result in resorufin phosphate or derivatives thereof.

With regards to claims 27 and 28, Williams teaches the use of a nucleoside linked to a pentose at the 1' position, including the 2'-deoxy and 2'-hydroxyl form (see page 8, lines 21-25). Williams et al thus teaches the use of a ribosyl or 2'deoxyribosyl sugar.

With regards to claim 29, Williams et al teaches use of adenine, guanine, cytosine, uracil, thymine, deazaadenine and deazaguanosine. Williams thus teach nitrogen-containing heterocyclic bases.

The addition of terminal phosphate labeled polyphosphates in claims 30 and 31 is interpreted as the incorporation into primer elongation.

With regards to claims 30, 31, 55 and 56, Williams teaches sequencing the target nucleic acid (see page 4, lines 28-29). Sequencing is based on the addition of nucleotides or nucleoside polyphosphates in order to make a complementary strand of the target region. The sequencing method taught by Williams encompasses this, further Williams teachings of the use of four deoxynucleotide triphosphates, each labeled with a different color fluorescent dye (see page 24, lines 5-6) results in sequencing by addition of labeled bases.

With regards to claim 32, Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a γ -phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams et al teaches the figure at the top of page 15

Williams further teaches in line 21 of page 20, Y can be 0, 1, or 3. Williams thus teaches a polyphosphate with 5 phosphates (2 in the figure plus the 3 of the y depiction). Williams thus teaches 4 or more phosphate groups in the polyphosphate chain.

With regards to claim 33, Williams teaches immobilizing a target nucleic acid onto a solid support (see page 4, lines 20-21). Target nucleic acid is interpreted as template.

With regards to claim 34, Williams teaches oligonucleotides can be immobilized on a solid support (see page 9, lines 16-19). Williams teaches oligonucleotides are primers (see page 29, lines 7-18).

With regards to claim 35, Williams teaches immobilizing a nucleic acid complex onto a solid support, contacting the polymerase and detecting release of pyrophosphate (see page 21, line 30 to page 22 line 2).

With regards to claim 36, Williams teaches immobilizing a nucleic acid polymerase on a solid support for conducting (see page 4, lines 5-6).

With regards to claim 37, Williams teaches a flowcell having an inlet port and an outlet port (page 4, line 29).

With regards to claim 38, Williams teaches, "the amount of pyrophosphate released which, in turn, is directly proportional to the amount of base incorporated" (see page 25, lines 15-16). The amount of pyrophosphate released is thus proportional to the amount

of template nucleic acid present. The amount of nucleic acid present is thus quantitated.

With regards to claim 40, Williams teaches a nucleic acid polymerase (see page 4 lines 20-21).

With regards to claims 42 and 43, Williams teaches DNA as a template (see abstract). Instant specification teaches, "'oligonucleotide' includes linear oligomers of nucleotides or derivatives thereof, including deoxyribonucleosides." Oligonucleotide thus encompasses DNA.

With regards to claim 44, Williams teaches, "the amount of pyrophosphate released which, in turn, is directly proportional to the amount of base incorporated" (see page 25, lines 15-16). As Williams teaches the pyrophosphate has the detectable label, this is interpreted as detectable species directly proportional to amount of nucleic acid sequence.

With regards to claim 45, Williams teaches the use of four deoxynucleotide triphosphates, each labeled with a different color fluorescent dye (see page 24, lines 5-6).

With regards to claim 47, Williams teaches use of fluorescent dyes (see page 24, lines 5-6).

With regards to claim 48, Williams et al teaches the figure at the top of page 15 Williams further teaches in line 21 of page 20, Y can be 0, 1, or 3. Williams thus teaches a polyphosphate with 5 phosphates (2 in the figure plus the 3 of the y

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depiction). Williams thus teaches 4 or more phosphate groups in the polyphosphate chain.

With regards to claim 49, Williams teaches use of fluorescent dyes and chromogenic dyes (see page 16, line 4 and page 24, lines 5-6).

With regards to claim 50, Williams et al teaches xanthenes, cyanine, coumarin and BODIPY dyes (see page 16, lines 15-22). Williams thus teaches the fluorescent dyes recited.

With regards to claim 50 and 51, Williams teaches the molecule of the figure on top of page 15. Williams teaches the B is a nucleobase, a sugar moiety is depicted, three phosphates are depicted (the Y in the figure is in line 21 suggested to be , 0, 1, or 3) and the f is a label that can be independently detected upon activation (cleavage). With regards to claims 52 and 53, Williams teaches the use of a nucleoside linked to a pentose at the 1' position, including the 2'-deoxy and 2'-hydroxyl form (see page 8, lines 21-25). Williams et al thus teaches the use of a ribosyl or 2'deoxyribosyl sugar.

With regards to claim 54, Williams et al teaches use of adenine, guanine, cytosine, uracil, thymine, deazaadenine and deazaguanosine. Williams thus teach nitrogen-containing heterocyclic bases.

Response to arguments

The response of 7/2/2007 asserts that the amended claims are not anticipated by the teachings of Williams et al as the claims now require the detection step is performed without separation by charge of the polyphosphate or detectable species. This argument has been thoroughly reviewed but is not considered persuasive because

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Williams et al does teach on page 24, lines 15-26 that does not require the separation based on charge. As the teachings of Williams on page 24 do not require the separation based on charge they anticipate the instant claims.

It is noted that a reference may be relied upon for all that it would have reasonably suggested to one having ordinary skill the art, including nonpreferred embodiments. *Merck & Co. v. Biocraft Laboratories*, 874 F.2d 804, 10 USPQ2d 1843 (Fed. Cir.), cert. denied, 493 U.S. 975 (1989). See also *Upsher-Smith Labs. v. PamLab, LLC*, 412 F.3d 1319, 1323, 75 USPQ2d 1213, 1215 (Fed. Cir. 2005)(reference disclosing optional inclusion of a particular component teaches compositions that both do and do not contain that component); *Celeritas Technologies Ltd. v. Rockwell International Corp.*, 150 F.3d 1354, 1361, 47 USPQ2d 1516, 1522-23 (Fed. Cir. 1998) (The court held that the prior art anticipated the claims even though it taught away from the claimed invention. "The fact that a modem with a single carrier data signal is shown to be less than optimal does not vitiate the fact that it is disclosed."). See MPEP § 2123 [R-5].

Thus the 102 of rejection based on Williams is maintained.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject

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matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claim 8 and 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams et al (WO/2001/94609) in view of Wittwer et al (US Patent 6174670).

Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a γ -phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams further teaches, "the use of a phosphatase enhances the charge-switch magnitude by dephosphorylating the PPi-F" (see page 25, lines 12-13). The sample stream taught by Williams is interpreted as continuing polymerization assay by adding different nucleoside polyphosphates. Williams does not teach quantifying nucleic acid by comparing spectra with a known standard.

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However, Wittwer teaches determining the concentration of a nucleic acid by comparison to the fluorescence of a known concentration template (see column 11, line 65 to column 12 line 40). Wittwer teaches this simple method allows quantification of low copy number DNA (see column 39, lines 59-60).

Therefore it would have been prima facie obvious to one of skill in the art at the time the invention was made to quantitate the nucleic acid sequences of Williams with Wittwers method of quantitation, because Wittwer teaches it is a simple method for quantification of low copy number DNA. The ordinary artisan would be motivated to improve Williams method of sequencing because Wittwer teaches a simple method for quantification of low copy number DNA.

Response to Arguments

The response traverses the rejection. The response asserts for the reasons presented for Williams the rejection does not teach each and every limitation of the claims. This argument has been considered but is not convincing because Williams does teach the limitations of claims 1 and 32, as previous discussed. Thus the combination of Williams and Wittwer would make the instantly claimed invention obvious.

Thus for the reasons above and those already of record, the rejection is maintained.

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7. Claim 10 and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams et al (WO/2001/94609) in view of Keller et al (US Patent 5656462).

Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a γ -phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams further teaches, "the use of a phosphatase enhances the charge-switch magnitude by dephosphorylating the PPi-F" (see page 25, lines 12-13). The sample stream taught by Williams is interpreted as continuing polymerization assay by adding different nucleoside polyphosphates.

Williams does not teach the use of an RNA template.

However, Keller et al teaches the use of an RNA template (see column 13, lines 54-55) because it is useful in the preservation and analysis of genes.

Therefore it would have been prima facie obvious to one of skill in the art at the time the invention was made to improve Williams method of sequencing by the use of RNA templates as taught by Keller, because Keller teaches use of RNA allows gene analysis. The ordinary artisan would be motivated to use the RNA template, because Keller teaches the RNA template is useful in preservation and analysis of genes.

Response to Arguments

The response traverses the rejection. The response asserts for the reasons presented for Williams the rejection is improper.

This argument has been considered but is not convincing because Williams does teach the limitations of claims 1 and 32, as discussed above. Thus the combination of Williams and Keller would make the instantly claimed invention obvious. Thus for the reasons above and those already of record, the rejection is maintained.

8. Claim 19 and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams et al (WO/2001/94609) in view of Lichenwalter et al (US Patent 5683875). Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when

the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a γ -phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams further teaches, "the use of a phosphatase enhances the charge-switch magnitude by dephosphorylating the PPi-F" (see page 25, lines 12-13). The sample stream taught by Williams is interpreted as continuing polymerization assay by adding different nucleoside polyphosphates. Williams teaches the use of four deoxynucleotide triphosphates, each labeled with a different color fluorescent dye (see page 24, lines 5-6). Williams does not teach the use of an antibody as a detection reagent.

However, Lichtenwalter et al teach the use of an antibody to detect elongated nucleic acid complexes (see column 3, lines 27-30, column 3, lines 14-17), because it is a convenient and reliable diagnostic method (column 13, lines 20-21).

Therefore it would have been prima facie obvious to one of skill in the art at the time the invention was made to use the antibodies taught by Lichtenwalter to detect the elongation products of Williams, because Lichtenwalter teaches it is a convenient and reliable diagnostic method. The ordinary artisan would be motivated to detect Williams elongation products with Lichtenwalter's antibodies because Lichtenwalter teaches it is a convenient and reliable diagnostic method.

Response to Arguments

The response traverses the rejection. The response asserts for the reasons presented for Williams the rejection is improper.

This argument has been considered but is not convincing because Williams does teach the limitations of claims 1 and 32, as discussed above. Thus the combination of Williams and Lichtenwalter would make the instantly claimed invention obvious.

Thus for the reasons above and those already of record, the rejection is maintained.

9. Claim 23-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams et al (WO/2001/94609) in view of Hattori et al (US Patent 5,821,095, Published October 13, 1998).

Claim 23 is being rejected as directed to 4-methylumbelliferyl phosphate.

Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a

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y-phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams further teaches, "the use of a phosphatase enhances the charge-switch magnitude by dephosphorylating the PPI-F" (see page 25, lines 12-13). The sample stream taught by Williams is interpreted as continuing polymerization assay by adding different nucleoside polyphosphates. Williams teaches the molecule of the figure on top of page 15. Williams teaches the B is a nucleobase, a sugar moiety is depicted, three phosphates are depicted (the Y in the figure is in line 21 suggested to be , 0, 1, or 3) and the f is a label that can be independently detected upon activation (cleavage). Williams does not teach the use of chromogenic dyes 5-bromo-4-chloro-3-indolyl phosphate, 3-indoxyl phosphate, p-nitrophenyl phosphate and derivatives thereof (claim 24), 4-methylumbelliferyl phosphate (claim 23) or 1,2 doixetane (claim 25). However, Hattori et al teaches the use of p-nitrophenyl phosphate, 5-bromo-4-chloro-3-indolyl phosphate, 4-methylumbelliferone phosphate and chemiluminescent dioxetanes in phosphatase assays (see column 1, lines 53-57). Hattori et al further teaches these substrates allow for a significant improvement in sensitivity of the phosphatase assay. Therefore it would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to use the substrates of Hattori et al in the method of Williams. One of ordinary skill in the art would be motivated to use Hattori et al substrates, because Hattori et al teaches they result in more sensitive detection.

Response to Arguments

The response traverses the rejection. The response asserts for the reasons presented for Williams the rejection is improper.

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This argument has been considered but is not convincing because Williams does teach the limitations of claims 1 and 32, as discussed above. Thus the combination of Williams and Hattori would make the instantly claimed invention obvious.

Thus for the reasons above and those already of record, the rejection is maintained

10. Claims 25 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams et al (WO/2001/94609) in view of Bronstein et al (US Patent 5,112,960 Issue May 12, 1992).

Williams teaches, "(a) immobilizing a complex comprising a nucleic acid polymerase, or a target nucleic acid onto a solid support in a single molecule configuration; b) contacting the complex with a sample stream comprising a target nucleic acid when the polymerase is immobilized, or a polymerase when the target nucleic acid is immobilized, a primer nucleic acid which complements a region of the target nucleic acid of the region to be sequenced; and a labeled nucleotide phosphate (NP) having a detectable moiety, wherein the detectable moiety is released as a charged detectable moiety when the NP is incorporated into the primer nucleic acid wherein the solid support is disposed in a flowcell having an inlet port and an outlet port; detecting the charged detectable moiety, thereby sequencing the target nucleic acid" (see page 4, lines 20-29). Williams teaches, "NP probe is a nucleotide triphosphate (NTP), and the terminal phosphate is a γ -phosphate with a fluorophore moiety attached" (See page 3, lines 14-15). Williams further teaches, "the use of a phosphatase enhances the charge-switch magnitude by dephosphorylating the PPi-F" (see page 25, lines 12-13). The sample stream taught by Williams is interpreted as continuing

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polymerization assay by adding different nucleoside polyphosphates. Williams teaches the molecule of the figure on top of page 15. Williams teaches the B is a nucleobase, a sugar moiety is depicted, three phosphates are depicted (the Y in the figure is in line 21 suggested to be , 0, 1, or 3) and the f is a label that can be independently detected upon activation (cleavage).

Williams et al does not teach the use of chemiluminescent compounds 1,2-dioxetane or the compounds 2-chloro-5-(4-methoxyspiro[1,2-dioxetane-3,2'-(5-chloro-)tricyclo [3,3,1 - 13,7]_decan]_ 1 -yl)- 1 -phenyl phosphate, chloroadamant-2' -ylidenemethoxyphenoxy phosphorylated dioxetane, 3-(2'-spiroadamantane)-4-methoxy-4-(3"-phosphoryloxy)phenyl-1,2-dioxetane and derivatives thereof .

In addition, the court have stated:

similar properties may normally be presumed when compounds are very close in structure. Dillon, 919 F.2d at 693, 696, 16 USPQ2d at 1901, 1904. See also In re Grabiak, 769 F.2d 729, 731, 226 USPQ 870, 871 (Fed. Cir. 1985) ("When chemical compounds have very close' structural similarities and similar utilities, without more a prima facie case may be made."). Thus, evidence of similar properties or evidence of any useful properties disclosed in the prior art that would be expected to be shared by the claimed invention weighs in favor of a conclusion that the claimed invention would have been obvious. Dillon, 919 F.2d at 697-98, 16 USPQ2d at 1905; In re Wilder, 563 F.2d 457, 461, 195 USPQ 426, 430 (CCPA 1977); In re Linter, 458 F.2d 1013, 1016, 173 USPQ 560, 562 (CCPA 1972) (see MPEP 2144.08(d)).

The teachings of Bronstein et al in the structure of the abstract is a derivative of the recited compounds. Bronstein teaches the compounds of her invention allow for the studying of chemical or biological substances (including nucleic acids) to allow structures to be determined and quantified (see column 2, lines 5-9). Bronstein et al teaches her enzymatically cleavable 1,2 dioxetanes allow for quick detection and steady state light emission (see column 3, lines 7-10). Bronstein et al teaches that these compounds are cleavable by alkaline phosphatases and decrease the time necessary to conduct assays (see column 3, lines 25-28, column 4, lines 62-65). Bronstein et al further teaches these compounds provide for improved signal (see column 5, lines 3-8).

Therefore it would have been prima facie obvious to one of ordinary skill of the art at the time the invention was made to improve the method of pyrophosphatase sequencing taught by Williams by use of the 1,2-dioxetane taught by Bronstein. One of ordinary skill in the art would be motivated to use the 1,2 dioxetane compounds of Bronstein because Bronstein teaches it allows rapid detection and quantification. The ordinary artisan would also be motivated to combine the 1,2 dioxetanes of Bronstein and the method of Williams, because Bronstein teaches the 1,2 dioxetanes are cleavable by alkaline phosphatase (which are commonly used in pyrophosphate sequencing) and decrease the assay time. The combined teachings of Williams and Bronstein would result in a fast quantitative method of detecting the alkaline phosphatase activity of pyrophosphate sequencing.

Response to Arguments

The response traverses the rejection. The response asserts for the reasons presented for Williams the rejection is improper. This argument has been considered but is not convincing because Williams does teach the limitations of claims 1 and 32, as discussed above. Thus the combination of Williams and Bronstein would make the instantly claimed invention obvious.

Thus for the reasons above and those already of record, the rejection is maintained

Summary

No claims are allowed.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven C. Pohnert whose telephone number is 571-272-3803. The examiner can normally be reached on Monday-Friday 7:00-3:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla can be reached on 571-272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Steven Pohnert

/Carla Myers/
Primary Examiner, Art Unit 1634